

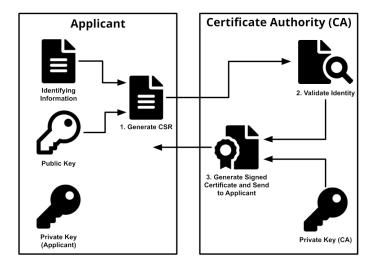
Towards a Proof-of-Possession месhanism For binary Goppa code-based KEM

Evgeny Alekseev Aleksandra Babueva Andrey Bozhko Liliya Akhmetzyanova





Certificate Issuance in Public Key Infrastructure (PKI)

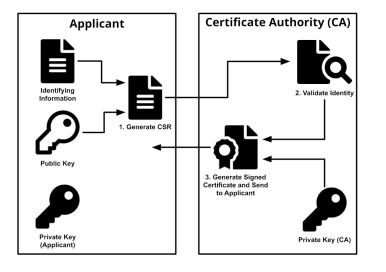


CSR (Certificate Signing Request):

- Public key *pk*
- Identifying info (attributes) attr



Certificate Issuance in Public Key Infrastructure (PKI)

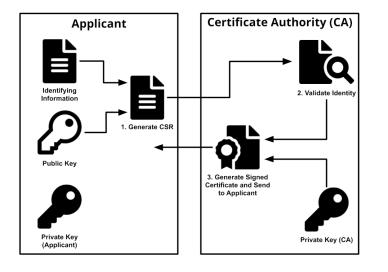


CSR (Certificate **Signing** Request):

- Public key *pk*
- Identifying info (attributes) attr
- Signature for *pk* and *attr* using private key *sk* (what for?)



Certificate Issuance in Public Key Infrastructure (PKI)



CSR (Certificate **Signing** Request):

- Public key *pk*
- Identifying info (attributes) attr
- Signature for *pk* and *attr* using private key *sk* (what for?)

for **proof of possession** of private key as additional protection in protocols:

[1] Asokan N., Niemi V., Laitinen P. On the usefulness of proof-of-possession. 2nd Annual PKI Research Workshop – Pre-Proceedings, 2003.

[2] Алексеев Е., Зинюк Б. Об одной проблеме при выдаче сертификатов открытых ключей постквантовых алгоритмов инкапсуляции ключа. PKI-форум, 2023.



(public key pk, private key sk):

- \rightarrow signature key pair: no problem to sign CSR for proving
- → encryption key pair:



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- → encryption key pair: -

Dlog-based cryptography

Same key pair in DH-based encryption and ElGamal-type signature

Lattice-based cryptography

Several specific methods were proposed [3]

- X Code-based cryptography
- (?) Only generic methods on zk-nark



[3] Guneysu T. et al. Proof-of-possession for KEM certificates using verifiable generation.

Proof-of-Possession for KEM

Key Encapsulation Mechanism (KEM)

- $\mathsf{KGen}() \to (\mathsf{sk},\mathsf{pk})$
- Encaps $(\mathsf{pk}) \to (K, c)$
- $\mathsf{Decaps}(\mathsf{sk}, c) \to K$

 $\mathbf{Exp}_{\mathsf{KEM}}^{\mathrm{IND-CCA2}}(\mathcal{A})$ $(sk, pk) \leftarrow KEM.KGen()$ $st \leftarrow \mathcal{A}^{\mathsf{Decaps}(\mathsf{sk}, \cdot)}(\mathsf{pk})$ $b \stackrel{\mathcal{U}}{\leftarrow} \{0,1\}$ $(K_0^*, c^*) \gets \mathsf{KEM}.\mathsf{Encaps}(\mathsf{pk})$ $K_1^* \xleftarrow{\mathcal{U}} \mathcal{K}$ $b' \leftarrow \mathcal{A}^{\mathsf{Decaps}(\mathsf{sk},\cdot)}(st,c^*,K_b^*)$ **return** (b' = b)

Target security property:

Indistinguishability (IND-CCA2): only owner of sk can obtain K



Proof-of-Possession for KEM

Proof-of-Possession (PoP)

- $PGen(sk, pk, attrs) \rightarrow \pi$
- $Vf(pk, attrs, \pi) \rightarrow b \in \{0, 1\}$

$$\begin{split} & \frac{\mathbf{Exp}_{\mathsf{PoP},\mathsf{KEM}}^{\mathrm{UF}}(\mathcal{A}) \\ & (\mathsf{sk},\mathsf{pk}) \leftarrow \mathsf{KEM}.\mathsf{KGen}(\) \\ & (\mathsf{attrs}^*,\pi^*) \leftarrow \mathcal{A}^{\mathsf{PGen}(\mathsf{sk},\mathsf{pk},\cdot)} \underbrace{\mathsf{Decaps}(\mathsf{sk},\cdot)}_{(\mathsf{pk})}(\mathsf{pk}) \\ & \mathbf{return} \ \mathsf{PoP}.\mathsf{Vf}(\mathsf{pk},\mathsf{attrs}^*,\pi^*) \land \\ & ((\mathsf{attrs},\pi) \neq (\mathsf{attrs}^*,\pi^*)) \end{split}$$

Unforgeabilty (UF-CMA): only owner of skcan provide valid proof π must hold in the presence of Decaps oracle





Proof-of-Possession for KEM

Proof-of-Possession (PoP)

• $PGen(sk, pk, attrs) \rightarrow \pi$

Target security properties:

• $Vf(pk, attrs, \pi) \rightarrow b \in \{0, 1\}$

$$\begin{split} & \frac{\mathbf{Exp}_{\mathsf{PoP},\mathsf{Sim}}^{\mathsf{ZK}}(\mathcal{A})}{(\mathsf{sk},\mathsf{pk}) \leftarrow \mathcal{A}(\)} \\ & b \xleftarrow{\mathcal{U}} \{0,1\} \\ & \mathbf{if} \ b = 1: b' \leftarrow \mathcal{A}^{\mathsf{PGen}(\mathsf{sk},\mathsf{pk},\cdot),\mathsf{Hash}(\cdot)}() \\ & \mathbf{if} \ b = 0: b' \leftarrow \mathcal{A}^{\mathsf{Sim}(\mathsf{pk},\cdot),\mathsf{Hash}(\cdot)}() \\ & \mathbf{return} \ b = b' \end{split}$$

Unforgeabilty (UF-CMA): only owner of skcan provide valid proof π must hold in the presence of Decaps oracle

Zero Knowledge (ZK): proof π doesn't leak info about sk

required for preserving IND-CCA2 security of KEM



«Classical» approach for constructing KEM:

Public Key Encryption scheme (PKE)

- $\mathsf{KGen}() \rightarrow (\mathsf{sk},\mathsf{pk})$
- $\operatorname{Enc}(\operatorname{pk},m) \to c$
- $\operatorname{Dec}(\operatorname{sk}, c) \to m$

Fujisaki-Okamoto(FO)-transformation

FO = T + U

- T makes PKE «rigid»
- U makes KEM from «rigid» PKE
 - implicit/explicit rejection
 - K depends on c or not

Rigidity: \forall (sk, pk), c : Dec(sk, c) = \perp or Enc(pk, Dec(sk, c)) = c

+



Our «patient»:

Public Key Encryption scheme (PKE)

Niederreiter scheme based on binary Goppa code

Fujisaki-Okamoto(FO)-transformation FO = U

Note: Niederreiter scheme is already «rigid»

- used in Classic McEliece KEM [4]
- similar scheme used in «Кодиеум» (TC26)



[4] Classic McEliece Team. Classic mceliece: cryptosystem specification, 2022.

+

Binary Goppa code

Fix the following parameters:

- $m, n \in \mathbb{N}, n \leq 2^m$,
- $\alpha = (\alpha_0, ..., \alpha_{n-1}) \subseteq \mathbb{F}_{2^m}, \alpha_i \text{ are distinct},$
- $g(x) \in \mathbb{F}_{2^m}[x]$, deg g(x) = t s.t. $g(\alpha_i) \neq 0 \forall i$

Binary Goppa code C of length n is

$$C = \Gamma(\alpha, g) = \left\{ c = (c_0, \dots, c_{n-1}) \in \mathbb{F}_2^n : \sum_{i=1}^n \frac{c_i}{x - \alpha_i} = 0 \mod g(x) \right\}$$

If g(x) square-free then minimal distance $d \ge 2t + 1$



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If g(x) square-free then minimal distance $d \ge 2t + 1$

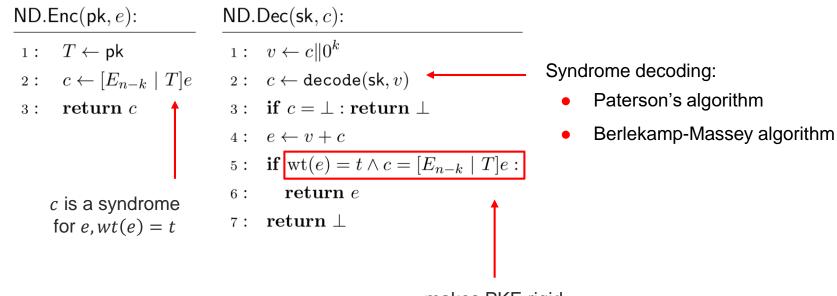


We consider

•
$$n = 2^n$$

• g(x) is irreducible

ND.KGen():



makes PKE rigid



Use CFS [6] signature scheme (hash-and-sign paradigm):

- $h = H(attr) \in \mathbb{F}_2^n$
- signature = decode(h) using α , g

Problem:

The probability that *h* is decodable is $\approx \frac{1}{t!}$

Example: for Classic McEliece-128 (t = 64): $\approx 2^{-296}$

Hash-and-sign paradigm doesn't work here



[6] Courtois N. T., Finiasz M., Sendrier N. How to achieve a McEliece-based digital signature scheme

Another techniques for signatures

Interactive ZKP + Fiat-Shamir transformation:

- Random permutations (Stern approach [7])
- MPC-in-the-head [8]

[7] V. V. Vysotskaya, I. V. Chizhov. The security of the code-based signature scheme based on the Stern identification protocol.

[8] Feneuil T., Joux A., and Rivain M. Syndrome Decoding in the Head: Shorter Signatures from Zero-Knowledge Proofs

Core idea

sk = s
$$\in \mathbb{F}_2^n$$
, wt(s) $\leq w$
pk = y $\in \mathbb{F}_2^{n-k}$ and $H \in \mathbb{F}_2^{(n-k) \times n}$

given y and H prove the knowledge of $s \ s.t.Hs = y, wt(s) \le w$ without revealing s



We can't just add s to sk = $(g(x), \alpha)$ and $y = [I_{n-k} | T]s$ to pk = T

Such a signature proves nothing Anyone can compute it without knowing g(x), α



BUT: if *s* is a codeword of minimal weight and y = 0 it potentially can prove something...

<u>Problem</u>: even knowing $g(x), \alpha$ it is hard to find codeword of minimal weight



PoP: A new approach

1. Change KGen: for a random word of small weight generate a Goppa code such that the random word is a codeword of the generated code

Input: $\alpha, c \in \mathbb{F}_2^n$, wt(c) = 2t + 1Output: g(x) of degree t s.t $c \in \Gamma(\alpha, g)$ PolyFromWord(t,c,lpha)

1:
$$(\alpha_0, \dots, \alpha_{n-1}) \leftarrow \alpha$$

$$2: \quad \delta \leftarrow \prod_{i:c_i=1} (x - \alpha_i)$$

$$3: \quad \delta' \leftarrow derivative(\delta)$$

$$4: \quad \beta^2 \leftarrow \delta'$$

5: return β



A new approach

1. Change KGen: for a random word of small weight generate a Goppa code such that the random word is a codeword of the generated code

Proposition
Fix
• $\alpha = (\alpha_0,, \alpha_{n-1}) \subseteq \mathbb{F}_{2^m}, \alpha_i \text{ are distinct}, n = 2^m,$
• $c \in \mathbb{F}_2^n$, $wt(c) = 2t + 1$
If $g = \text{PolyFromWord}(t, c, \alpha)$ is irreducible, then $c \in \Gamma(\alpha, g)$

Follows from the fact, that g(x) should divide derivative of «locator poly» of any codeword



A new approach

Changes in KEM:

ND.KGen'():

- 1: $\alpha_0, \ldots, \alpha_{n-1} \xleftarrow{\mathcal{U}} \mathbb{F}_{2^m} : \alpha_i \neq \alpha_j, i \neq j$
- 2: $\alpha \leftarrow (\alpha_0, \ldots, \alpha_{n-1})$
- $\begin{array}{ll} 3: & c \xleftarrow{\mathcal{U}} \mathbb{F}_2^n : \mathrm{wt}(c) = 2t + 1 \\ 4: & g \leftarrow \mathrm{PolyFromWord}(t, c, \alpha) \end{array} \right\} \quad \text{changes No1}$
- if IsIrreducible(g) = 0: go to 3 5:
- Compute $\widetilde{H} = \{h_{ij}\} \in \mathbb{F}_{2^m}^{t \times n} : h_{ij} \leftarrow \alpha_i^{i-1}/g(\alpha_j)$ 6:
- Compute $\widehat{H} \in \mathbb{F}_2^{mt \times n}$, replacing each $h_{ij} = h_{ij}^0 + \dots + h_{ij}^{m-1} z^{m-1}$ 7:with column $(h_{ij}^0, \ldots, h_{ij}^{m-1})^T \in \mathbb{F}_2^m$
- 8: $[I_{n-k} \mid T] \leftarrow \mathsf{Systematic}(\widehat{H})$. If error go to 1
- return $((q, \alpha, c), T)$ 9:

changes №2



The (experimental) probability of picking

• irreducible poly is
$$\approx \frac{1}{t}$$

A new approach

2. Prove the knowledge of this word (with attributes)

given $y \in \mathbb{F}_2^{mt}$ and $H \in \mathbb{F}_2^{mt \times n}$ prove the knowledge of $s \in \mathbb{F}_2^n s. t. Hs = y, wt(s) \le w$ without revealing s

In our case: $y = 0^{mt}$, $H = [I_{n-k} | T]$ from ND.KGen'(): s = c, w = 2t + 1



Theorem 8 [3] (Informal). Let **H** be modeled as a random oracle. PoP for KEM provides unforgeability if the following conditions hold:

- PoP.PGen is zero-knowledge
- PoP.Vf is extractable
- KEM.Decaps is simulatable
- KEM.KGen is one-way function



[3] Guneysu T. et al. Proof-of-possession for KEM certificates using verifiable generation.

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necessary condition for KEM security: if we «believe» that KEM is IND-CCA2-secure that this property **must already hold**



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usually doesn't depend on the **code properties** depend on well-studied security of commitment/hash/PRG

BUT: KEM.KGen is changed

- Original: compute $g(x), \alpha$ from T
- Modified: compute c, α from T



Hypothesis

Let

- $G = \{g(x) \in \mathbb{F}_{2^m}[x] \mid \deg g(x) = t, g(x) irreducible\};$
- $\Omega = \{ c \in \mathbb{F}_2^n \mid wt(c) = 2t + 1 \};$
- $(\Omega, 2^{\Omega}, U)$ probability space with uniform distribution.

Distribution of the random variable $\operatorname{PolyFromWord}(t, \cdot, \alpha) : \Omega \to \mathbb{F}_{2^m}[x]$ conditioned on *G*

is computationally indistinguishable from uniform distribution over G.

Experimentally verified on small parameters

- $m = 5, n = 2^m, t = 3$
- $m = 6, n = 2^m, t = 3$



Reusing known signature schemes [7,8] and its analysis

Signature scheme (SS)

- KGen() \rightarrow (sk, pk)
- $\bullet \ \operatorname{Sig}(\mathsf{sk},m) \to \sigma$
- $Vf(\mathsf{pk}, m, \sigma) \rightarrow b \in \{0, 1\}$

Signature scheme as a PoP mechanism:

PoP.PGen(sk, pk, attrs) := SS.Sig(sk, attrs)

 $\mathsf{PoP.Vf}(\mathsf{pk},\mathsf{attrs},\pi) := \mathsf{SS.Vf}(\mathsf{pk},\mathsf{attrs},\pi)$



Reusing known signature schemes [7,8] and its analysis

Alternative proof way (Informal). Let H be modeled as a random oracle. PoP for KEM provides unforgeability if the following conditions hold:

- SS.KGen() = KEM.KGen()
- SS provides unforgeability
- KEM.Decaps is simulatable



Reusing known signature schemes [7,8] and its analysis

Signature schemes from [7, 8] use random linear code, need to change to code from ND

- $\frac{\mathsf{KGen}(\):}{\begin{array}{ccc} 1: & H \xleftarrow{\mathcal{U}} \mathbb{F}_2^{(n-k) \times n} \\ 2: & s \xleftarrow{\mathcal{U}} \mathbb{F}_2^n \end{array}}$
- $3: y \leftarrow Hs$
- 4: return (s, (H, y))
- Syndrome Decoding (SD) for random linear code

 $\mathsf{KGen}'()$:

- 1: $\alpha_0, \ldots, \alpha_{n-1} \xleftarrow{\mathcal{U}} \mathbb{F}_{2^m} : \alpha_i \neq \alpha_j, i \neq j$
- 2: $\alpha \leftarrow (\alpha_0, \ldots, \alpha_{n-1})$
- 3: $c \stackrel{\mathcal{U}}{\leftarrow} \mathbb{F}_2^n : \operatorname{wt}(c) = 2t + 1$
- $\mathbf{4}: \quad g \gets \texttt{PolyFromWord}(t,c,\alpha)$
- 5: if IsIrreducible(g) = 0: go to 3
- 6: Compute $\widetilde{H} = \{h_{ij}\} \in \mathbb{F}_{2^m}^{t \times n}$: $h_{ij} \leftarrow \alpha_j^{i-1}/g(\alpha_j)$
- 7: Compute $\hat{H} \in \mathbb{F}_2^{mt \times n}$, replacing each $h_{ij} = h_{ij}^0 + \dots + h_{ij}^{m-1} z^{m-1}$ with column $(h_{ij}^0, \dots, h_{ij}^{m-1})^T \in \mathbb{F}_2^m$
- 8: $[I_{n-k} \mid T] \leftarrow \mathsf{Systematic}(\widehat{H})$. If error go to 1
- 9: return $(c, (T, 0^{mt}))$

Find c, α for random irreducible Goppa code



Reusing known signature schemes [7,8] and its analysis

But! Usually unforgeability analysis [7,8] consists of the similar steps proving that

- SS.Sig is zero-knowledge (ZK)
- SS.Vf is extractable (Ext)
- SS.KGen is one-way function (OW)



Reusing known signature schemes [7,8] and its analysis

But! Usually unforgeability analysis [7,8] consists of the similar steps proving that

- SS.Sig is zero-knowledge (ZK)
- SS.Vf is extractable (Ext)
- SS.KGen is one-way function (OW) changed



Example from [8]

Theorem 5. Suppose the PRG used is (t, ε_{PRG}) -secure and any adversary running in time t has at most an advantage ε_{SD} against the underlying d-split syndrome decoding problem. Model Hash₀, Hash₁ and Hash₂ as random oracles where Hash₀, Hash₁ and Hash₂ have 2λ -bit output length. Then chosen-message adversary against the signature scheme depicted in Figure 1, running in time t, making q_s signing queries, and making q_0 , q_1 , q_2 queries, respectively, to the random oracles, succeeds in outputting a valid forgery with probability

$$\Pr[\mathsf{Forge}] \leq \frac{(q_0 + \tau N q_s)^2}{2 \cdot 2^{2\lambda}} + \frac{q_s(q_s + q_0 + q_1 + q_2)}{2^{2\lambda}} + q_s \cdot \tau \cdot \varepsilon_{PRG} + \varepsilon_{SD} + q_2 \cdot \varepsilon^{\tau},$$

where $\varepsilon = p + \frac{1}{N} - p \cdot \frac{1}{N}$ and p defined in Equation (3).
ZK OW Ext

SD for random code \rightarrow find (*c*, α) for Goppa code



What should be done

For security analysis of proposed PoP in ROM:

• Analyze hypothesis







Thank you for your attention!

lah@cryptopro.ru





Theorem 8 [3] (Informal). Let **H** be modeled as a random oracle. PoP for KEM provides unforgeability if the following conditions hold:

- PoP.PGen is zero-knowledge $\rightarrow \exists$ «simulator» computing correct proof without sk (in RO)
- PoP.Vf is extractable $\rightarrow \exists$ «extractor» computing sk from correct proof
- KEM.Decaps is «simulatable» $\rightarrow \exists$ «simulator» computing Decaps without sk (in RO)
- KEM.KGen is one-way function \rightarrow computing sk from pk is an intractable task



Example: zero-knowledge for the Stern-based signature scheme

Theorem 3 [6] about connection between EUF-CMA (chosen message attack) and EUF-NMA (no message attack) security proves that Sig algorithm is zero-knowledge.

> **Theorem 3.** Let \mathcal{A} be an adversary in the EUF-CMA model for the Stern signature scheme making at most q_f queries to the hashing oracle F and at most q_s queries to the signing oracle Sign. Then there exists an adversary \mathcal{B} in the EUF NMA model for the Stern signature scheme making at most q_f queries to the hashing oracle and

$$\mathsf{Adv}_{\mathsf{Stern}}^{\mathsf{EUF}\mathsf{-}\mathsf{NMA}}(\mathcal{B}) \geqslant \mathsf{Adv}_{\mathsf{Stern}}^{\mathsf{EUF}\mathsf{-}\mathsf{CMA}}(\mathcal{A}) - q_s \left(\frac{14\,\tilde{c}\,\delta\,q_f}{T_{\mathrm{Coll}}}\right)^{\delta},$$

doesn't depend on code properties

where T_{Coll} is the complexity of optimal algorithm solving Coll(h) problem with probability of success at least 1 - 1/e and \tilde{c} is a constant depending on the model of computation.

Furthermore, if the complexity of \mathcal{A} is T, then the complexity of \mathcal{B} is upper bounded by $T + c''(q_f + q_s T_{\text{Stern}}^{\text{Sig}})$, where $T_{\text{Stern}}^{\text{Sig}}$ is the complexity of the signature generation algorithm and c'' is a constant depending on the model of computation.

КРИПТОПРО

[6] V. V. Vysotskaya, I. V. Chizhov. The security of the code-based signature scheme based on the Stern identification protocol.

FO-transformation U with implicit rejection and dependence on c

KEM.KGen
$(sk,pk) \gets PKE.KGen(\)$
$s \xleftarrow{\mathcal{U}} \mathcal{M}$
$sk' \gets (sk, s)$
$\mathbf{return}\;(sk',pk)$

 $\frac{\mathsf{KEM}.\mathsf{Encaps}(\mathsf{pk})}{m \xleftarrow{\mathcal{U}} \mathcal{M}}$ $c \leftarrow \mathsf{PKE}.\mathsf{Enc}(\mathsf{pk}, m)$ $K \leftarrow \mathsf{H}(m, c)$ $\mathbf{return} (K, c)$

 $\frac{\mathsf{KEM}.\mathsf{Decaps}(\mathsf{sk}',c)}{(\mathsf{sk},s) \leftarrow \mathsf{sk}'}$ $m' \leftarrow \mathsf{PKE}.\mathsf{Dec}(\mathsf{sk},c)$ $\mathbf{if} \ m' \neq \perp:$ $\mathbf{return} \ \mathsf{H}(m',c)$ $\mathbf{else} \ :$ $\mathbf{return} \ \mathsf{H}(s,c)$



Straight-line Extractability

ɛ-extractability (information-theoretic)

Hash is a random oracle modeling H. There exists efficient algorithm Ext (extractor) modeling Hash such that for any adversary *A*

$$\begin{aligned} \mathsf{Adv}_{\mathsf{PoP},\mathsf{Ext}}^{\mathrm{EXT}}(\mathcal{A}) &= \Pr\big[\mathbf{Exp}_{\mathsf{PoP},\mathsf{Ext}}^{\mathrm{EXT}}(\mathcal{A}) \to 1\big] < \varepsilon \\ & \frac{\mathbf{Exp}_{\mathsf{PoP},\mathsf{Ext}}^{\mathrm{EXT}}(\mathcal{A})}{(\mathsf{pk},\pi,\mathsf{attrs}) \leftarrow \mathcal{A}^{\mathsf{Hash}(\cdot)}()} \\ & \mathbf{if} \ \mathsf{Vf}(\mathsf{pk},\mathsf{attrs},\pi) \neq 1 \\ & \mathbf{return} \ 0 \\ & \mathsf{sk} \leftarrow \mathsf{Ext}(\mathsf{pk},\mathsf{attrs},\pi) \\ & \mathbf{return} \ (\mathcal{R}(\mathsf{pk},\mathsf{sk}) \neq 1) \end{aligned}$$



Decapsulation Sumulatibility

ε-simulatability (information-theoretic)

Hash is a random oracle modeling H. There exists efficient algorithm Sim (simulator) modeling Hash such that for any adversary *A*

 $\mathsf{Adv}_{\mathsf{KEM},\mathsf{Sim}}^{\mathrm{KEM}-\mathrm{SIM}}(\mathcal{A}) = 2\Pr\big[\mathbf{Exp}_{\mathsf{KEM},\mathsf{Sim}}^{\mathrm{KEM}-\mathrm{SIM}}(\mathcal{A}) \to 1\big] - 1 < \varepsilon$

$$\begin{split} & \frac{\mathbf{Exp}_{\mathsf{KEM},\mathsf{Sim}}^{\mathrm{KEM}-\mathrm{SIM}}(\mathcal{A})}{(\mathsf{sk},\mathsf{pk}) \leftarrow \mathsf{KGen}()} \\ & b \xleftarrow{\mathcal{U}} \{0,1\} \\ & \mathbf{if} \ (b=0) \\ & b' \leftarrow \mathcal{A}^{\mathsf{Decaps}(\mathsf{sk},\cdot),\mathsf{Hash}(\cdot)} \end{split}$$

 \mathbf{else}

 $b' \leftarrow \mathcal{A}^{\mathsf{Sim}(\mathsf{pk},\cdot),\mathsf{Hash}(\cdot)}$ return (b = b')

